Climate Change and Energy

Information, Challenges, and Strategies for Arizona DOT

April 26, 2011
Workshop Purpose

- To provide overview information on climate change and energy
- To provide foundation for state DOTs and partner agencies to respond to climate change and energy challenges
- To foster collaborative discussions on possible next steps
Workshop Overview

I. Climate Change/Energy - Science, Sources, Trends
II. Importance of Climate Change/Energy Use for State DOTs
III. Strategies to Reduce Transportation GHG Emissions
IV. Federal Direction – Legislation, Planning, and NEPA Issues
V. Climate Adaptation for Transportation
VI. Break-out Groups to Brainstorm Future Actions
I. Climate Change/Energy:
Science, Sources, Trends
The United Nations Framework Convention on Climate Change (UNFCCC) defines Climate Change as:

“A change of climate which is attributed directly or indirectly to human activity that alters the composition of the global atmosphere and which is in addition to natural climate variability observed over comparable time periods.”
What is the Greenhouse Effect?

1. Solar energy passes through the atmosphere
2. Some energy is reflected back out to space
3. Earth’s surface is heated by the sun and radiates the heat back out towards space.
4. GHG in the atmosphere trap some of the heat
What is the evidence of climate change?

- 14% increase in human GHG since 1990 – USA
- 26% increase in human GHG since 1990 – world
- GHG levels are at highest in 1000s of years
- 2000-2009 was the warmest decade on record worldwide
- Heat stored in oceans has increased substantially
- Sea surface temperatures have been higher during the past three decades than at any other time since large-scale measurement began in the late 1800s.
- In recent years, a higher percentage of precipitation in the United States has come in the form of intense single-day events.
- 8 of top 10 years for extreme one-day precipitation events occurred since 1990.
- The occurrence of abnormally high annual precipitation totals has increased.
- Intensity of tropical storms in the Atlantic, Caribbean, and Gulf has risen noticeably over the past 20 years.
- 6 of the 10 most active hurricane seasons have occurred since the mid-1990s.

Source: EPA, Climate Change Indicators in the U.S., May 2010
What is the evidence of Climate Change? (continued)

- Sea level worldwide has increased at a rate of roughly 0.6” per decade since 1870.
- Sea level increase has accelerated to more than 1”/decade in recent years.
- Oceans have become more acidic over the past 20 years, and studies suggest that the ocean is substantially more acidic now than it was a few centuries ago. Rising acidity is associated with increased levels of carbon dioxide dissolved in the water, and affects sensitive organisms such as corals.
- Sept 2007 had least Arctic sea ice of any year on record, followed by 2008 and 2009.
- Arctic sea ice in 2009 was 24 percent below the 1979-2000 historical average.
- Glaciers in U.S. and around the world have generally shrunk since the 1960s and the rate at which glaciers are melting appears to have accelerated over the last decade.
- Glaciers worldwide have lost more than 2,000 cubic miles of water since 1960.
- Average length of the growing season in the lower 48 states has increased by about two weeks the since beginning of the 20th century.
- North American bird species have shifted their wintering grounds northward by an average of 35 miles since 1966, with a few species shifting by several hundred miles.

Source: EPA, Climate Change Indicators in the U.S., May 2010
GHG Scenarios

**Carbon from Fossil Fuel CO₂ Emissions**
- Year: 2000 to 2100
- Carbon (Gigatons/yr)

**Atmospheric CO₂ Concentrations**
- Year: 2000 to 2100
- Carbon Dioxide (ppm)

Legend:
- Pink: Even higher emissions scenario (A1FI)³¹
- Orange: Higher emissions scenario (A2)³¹
- Blue: Lower emissions scenario (B1)³¹
- Green: Stabilization 450 ppm
- Black: Observations
What are the impacts of climate change?

### Projected impacts of climate change

<table>
<thead>
<tr>
<th>Global temperature change (relative to pre-industrial)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0°C</td>
</tr>
<tr>
<td>Food</td>
</tr>
<tr>
<td>Water</td>
</tr>
<tr>
<td>Ecosystems</td>
</tr>
<tr>
<td>Extreme Weather Events</td>
</tr>
<tr>
<td>Risk of Abrupt and Major Irreversible Changes</td>
</tr>
</tbody>
</table>
Temperature Changes (°F) (compared to 1961-1979 Baseline)

Low Scenario End-of-Century (2080-2099 average)

High Scenario End-of-Century (2080-2099 average)

Source: Virginia Burkett, USGS (USGCRP 2009)
Precipitation Changes
(Change in Spring Precipitation – by 2090, showing areas of highest confidence in model prediction)

Figure courtesy of Mike Wehner, DoE and Katharine Hayhoe, Texas Tech for USGCRP, 2009
Sea Surface Temperatures and Hurricanes

Map of the cumulative tracks of all tropical cyclones during the 1985–2005 time period.

Blue lines = historical storm tracks

Upper graph: Bell et al., 2007  Lower Graph: Smith and Reynolds, 2004
How widespread are climate change concerns?

- Over 2000 leading scientists worldwide contributed to IPCC report
- 33 U.S. states have developed climate change action plans
- U.S. military is actively preparing for climate change
- U.S. Climate Action Partnership includes 23 major corporations and 5 nongovernmental groups which have called for U.S. Congress to enact strong GHG targets to achieve significant reductions in GHG:

Where do all those GHG come from?

Comparison: Annual* & Cumulative** CO₂ Emissions

<table>
<thead>
<tr>
<th></th>
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</thead>
<tbody>
<tr>
<td>US</td>
<td>30%</td>
<td>22%</td>
</tr>
<tr>
<td>China</td>
<td>18%</td>
<td>7%</td>
</tr>
<tr>
<td>EU-25</td>
<td>15%</td>
<td>6%</td>
</tr>
<tr>
<td>Russia</td>
<td>8%</td>
<td>4%</td>
</tr>
<tr>
<td>India</td>
<td>6%</td>
<td>2%</td>
</tr>
<tr>
<td>Japan</td>
<td>5%</td>
<td>5%</td>
</tr>
<tr>
<td>Brazil</td>
<td>1%</td>
<td>1%</td>
</tr>
<tr>
<td>Canada</td>
<td>2%</td>
<td>2%</td>
</tr>
<tr>
<td>Mexico</td>
<td>1%</td>
<td>1%</td>
</tr>
<tr>
<td>Indonesia</td>
<td>0.5%</td>
<td>1%</td>
</tr>
<tr>
<td>South Korea</td>
<td>2%</td>
<td>1%</td>
</tr>
<tr>
<td>Australia</td>
<td>1%</td>
<td>1%</td>
</tr>
<tr>
<td>South Africa</td>
<td>1%</td>
<td>1%</td>
</tr>
</tbody>
</table>

** Cumulative Emissions from 1850-2000, CAIT WRI
What GHG targets have been set?

- Scientists recommend **60-80% GHG reduction below 1990 level by 2050** to avoid worst impacts
- Many states and countries have adopted similar targets
- President Obama’s budget: **80% GHG reduction below 2005 by 2050**
- Waxman-Markey bill: **17% below 2005 by 2020 and 83% below 2005 by 2050**
- Kerry-Lieberman bill: **17% below 2005 by 2020 and 83% below 2005 by 2050**
- Arizona: **25% below 2000 by 2020**
What is transportation’s share of U.S. GHG?

Source: U.S. DOT Report to Congress, 2010
What are U.S. transportation GHG trends?

Source: U.S.DOT Report to Congress, 2010

<table>
<thead>
<tr>
<th>Category</th>
<th>Change, 1990-2006</th>
</tr>
</thead>
<tbody>
<tr>
<td>All U.S. GHG Sources</td>
<td>15%</td>
</tr>
<tr>
<td>U.S. Transportation</td>
<td>27%</td>
</tr>
<tr>
<td>Light Duty Vehicles</td>
<td>24%</td>
</tr>
<tr>
<td>Freight Trucks</td>
<td>77%</td>
</tr>
<tr>
<td>Commercial Aircraft</td>
<td>4%</td>
</tr>
</tbody>
</table>

Parsons Brinckerhoff / Sarah J. Siwek & Associates, Inc. | Climate Change
U.S. VMT growth rates are declining

- VMT growth has been steadily declining since the 1950s
- VMT growth slowed to about 1.5% in early 2000s
- VMT growth was actually negative in 2008, pattern of upward growth in 2009
- VMT is affected by population, economy, transportation prices, demographics, land use
- AASHTO supports reducing VMT growth rate to 1% per year

Source: Alan Pisarski and Cambridge Systematics
DOE expects VMT and MPG both to rise
As VMT and MPG rise, GHG is nearly flat
What are the global trends in vehicle ownership and use?

- Today, car ownership in the U.S. is greater than in India, China, and Brazil combined.
- By 2050, car ownership in those countries will by 5x greater than in the U.S.

Now Consider Energy Security

- Even if climate change went away, energy security is a growing concern
- ~$1 billion/day = U.S. payments to other countries for imported oil
- Consider what $365 billion/year could do if invested in U.S. economy (or deficit reduction) …..
- Largest transfer of wealth in human history?
- 70% of U.S. oil consumption is from transportation
- Reducing transportation energy consumption = reduced GHG, lower transportation costs, greater wealth retained in U.S., reduced vulnerability to hostile nations
Most of the strategies to reduce transportation energy consumption also reduce GHG emissions:
- High MPG vehicles
- Low-carbon fuels
- Reduced VMT growth
- Reduced congestion
- Eco-driving
- Energy efficient construction and maintenance practices and materials
- And more.....

And they save money for cash-strapped DOTs and households.
“We know we need to get ready for a world in which energy will only be more expensive.”

Wal-Mart will cut 20 MMT of GHG from its supply chain by the end of 2015 — equivalent to removing >3.8 million cars from the road for a year. Wal-Mart is already requiring suppliers to cut packaging, selling “Walmart-label” CFL bulbs in Mexico, and labeling clothes as cold-water wash.

Should state DOTs take a page from Wal-Mart’s book?
II. Importance of Climate Change/Energy to State DOTs and their Partners
1. **Climate adaptation**: Physical impacts of climate change on transportation facilities, systems, and operations

2. **GHG mitigation**: State and federal policies calling for GHG reductions

3. **Energy**: Higher costs and energy volatility for agencies, households, and all levels of government

4. **Transportation revenue**: Declining revenue as U.S. shifts to alternative energy and high MPG vehicles
State Climate Action Plans
Source: Pew Center on Climate Change
## State Climate Plan Goals

<table>
<thead>
<tr>
<th>State</th>
<th>Year</th>
<th>% Reduction in Transportation GHG</th>
<th>% of all GHG Reductions from Transportation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rhode Island</td>
<td>2020</td>
<td>N/A</td>
<td>20%</td>
</tr>
<tr>
<td>New York</td>
<td>2020</td>
<td>18%</td>
<td>7%</td>
</tr>
<tr>
<td>Connecticut</td>
<td>2020</td>
<td>N/A</td>
<td>7%</td>
</tr>
<tr>
<td>Pennsylvania</td>
<td>2025</td>
<td>30%</td>
<td>8%</td>
</tr>
<tr>
<td>Maine</td>
<td>2020</td>
<td>23%</td>
<td>27%</td>
</tr>
<tr>
<td>Minnesota</td>
<td>2025</td>
<td>27%</td>
<td>5%</td>
</tr>
<tr>
<td>Oregon</td>
<td>2025</td>
<td>25%</td>
<td>8%</td>
</tr>
<tr>
<td>New Mexico</td>
<td>2020</td>
<td>30%</td>
<td>8%</td>
</tr>
<tr>
<td>Arizona</td>
<td>2020</td>
<td>25%</td>
<td>9%</td>
</tr>
<tr>
<td>North Carolina</td>
<td>2020</td>
<td>31%</td>
<td>11%</td>
</tr>
</tbody>
</table>
State Climate Plans – Transportation Elements Vary All Across the Map

<table>
<thead>
<tr>
<th>State</th>
<th>Year</th>
<th>Vehicle</th>
<th>Low Carbon Fuels</th>
<th>Smart Growth and Transit</th>
<th>Other</th>
</tr>
</thead>
<tbody>
<tr>
<td>AZ</td>
<td>2020</td>
<td>40%</td>
<td>7%</td>
<td>25%</td>
<td>28%</td>
</tr>
<tr>
<td>NC</td>
<td>2020</td>
<td>35%</td>
<td>12%</td>
<td>38%</td>
<td>15%</td>
</tr>
<tr>
<td>SC</td>
<td>2020</td>
<td>14%</td>
<td>55%</td>
<td>29%</td>
<td>1%</td>
</tr>
<tr>
<td>CT</td>
<td>2020</td>
<td>51%</td>
<td>38%</td>
<td>8%</td>
<td>2%</td>
</tr>
<tr>
<td>ME</td>
<td>2020</td>
<td>53%</td>
<td>25%</td>
<td>21%</td>
<td>1%</td>
</tr>
<tr>
<td>MD</td>
<td>2025</td>
<td>24%</td>
<td>12%</td>
<td>45%</td>
<td>20%</td>
</tr>
<tr>
<td>NY</td>
<td>2020</td>
<td>59%</td>
<td>11%</td>
<td>27%</td>
<td>4%</td>
</tr>
<tr>
<td>PA</td>
<td>2025</td>
<td>53%</td>
<td>15%</td>
<td>&lt;1%</td>
<td>28%</td>
</tr>
<tr>
<td>MN</td>
<td>2025</td>
<td>15%</td>
<td>35%</td>
<td>25%</td>
<td>25%</td>
</tr>
<tr>
<td>VT</td>
<td>2028</td>
<td>21%</td>
<td>14%</td>
<td>49%</td>
<td>17%</td>
</tr>
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</table>
State Climate Action Plans

- Highly “aspirational”
- Managed by state environmental agencies
- Steering Committees included multiple environmental advocates and rarely had transportation agency reps
- State DOT involvement was at a technical advisory level
- Example: VT strategies would reduce 2030 VMT from 10.5 B (base case) to 3.9 B
Arizona Actions on Climate Change

• Exec. Order in 2005 established 35-member Climate Change Advisory Group (CCAG)
  – Develop GHG Inventory and Forecast (1990-2020) - 2005

• Reduce GHG emissions by 20% over 2000 by 2020 and 50% over 2000 by 2040

• Arizona participates in Western Climate Initiative with 10 other states
  – AZ will not participate in cap-and-trade beginning 1/2012

• AZ participates in the Arizona-Sonora Regional Climate Change Initiative (inventory and energy strategies, etc.)
Executive Order 2010-06

- Established Climate Change Oversight Group

- “We strive for pragmatic, pro-active approaches to climate change mitigation and adaptation by advancing clean and-renewable energy, including solar power, nuclear energy, smart growth, fuel efficient transportation and energy efficiency policies and practices that make sense for Arizona”

» Executive Order 2010-06 Governor’s Policy on Climate Change, February 2, 2010.
GHG Emissions – AZ and US

Source: Arizona Climate Action Plan
AZ - 2010-2020
GHG Reductions by Sector

AF-agriculture/forestry
TLU- transportation/land use
ES-energy supply
RCI- residential/commercial/industrial (fuel use)

Source: Arizona Climate Action Plan
AZ - Five Cross Cutting Recommended Policies

- Set a State GHG Reduction Goal
- Establish a GHG Emissions Reporting Mechanism
- Establish a GHG Emissions Registry
- Undertake Climate Action Education and Outreach
- Develop a State Climate Change Adaptation Strategy
Climate Change and Arizona

- Over past 50 years, climate warmed 1.4°F in western U.S.
- IPCC climate models predict June-August temperatures + 3.6-9°F by 2040 and 2069
- Worst case: +14°F before end of century
- Less winter snowfall, more winter rain, faster & earlier snowmelt
- AZ already experiencing effects of hotter, dryer climate
  - 2002 and 2006 driest years in more than a century
- Decade long drought, warmer temperatures
Climate Change and Arizona

- Arizona is experiencing fast growth
  - 1990-2000 gasoline use increase 3.2% annually and diesel use 6.7% annually
  - 1990-2020 doubling of GHG from on-road gasoline anticipated
- Transportation largest source of black carbon in AZ 2002:
  - on-road diesel 59%
  - non-road 18%
  - rail 11%

Source: Arizona Climate Action Plan
Transportation & Land Use
13 Strategies

- Adopt the Clean Car Program
- Implement Policies to Promote Smart Growth Planning, Infill, Increased Density and Transit-Oriented/Pedestrian Friendly Development
- Promote Multi-Modal Transit
- Reduce Vehicle Idling
- Set Standards for Alternative Fuels
- Provide Incentives for Hybrid Vehicles
- Explore Feebates
- Implement a Pilot Program for Pay-As-You-Drive Insurance

Source: Arizona Climate Action Plan
• Encourage Low Rolling Resistance Tires and Promote Proper Tire Inflation
• Provide Incentives for Accelerated Replacement /Retirement of High-Emitting Diesel Vehicles
• Increase the Use of Biodiesel
• Implement Practices and Procurement Policies to Achieve a Lower-GHG Emitting State Vehicle Fleet
• Reduce the Speed Limit to 60 mph for Commercial Trucks on Highways/Freeways

Source: Arizona Climate Action Plan
## CCAG Recommended Policy Options: Transportation & Land Use

<table>
<thead>
<tr>
<th>CCAG Policy Option</th>
<th>Annual GHG Reduction (MMtCO₂eq)</th>
<th>Annual GHG Reduction (MMtCO₂eq)</th>
<th>Cumulative Reduction (MMtCO₂eq)</th>
<th>Cost/Cost Savings Per Ton GHG Removed ($/tCO₂)</th>
</tr>
</thead>
<tbody>
<tr>
<td>TLU-1 State Clean Car Program</td>
<td>0.3</td>
<td>5.6</td>
<td>32.5</td>
<td>-$90</td>
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<tr>
<td>TLU-2 Smart Growth Bundle of Options</td>
<td>1.5</td>
<td>4.0</td>
<td>28.7</td>
<td>$0</td>
</tr>
<tr>
<td>TLU-3 Promoting Multimodal Transit</td>
<td>Not available</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>TLU-4 Reduction of Vehicle Idling</td>
<td>0.7</td>
<td>1.3</td>
<td>11.8</td>
<td>-$22</td>
</tr>
<tr>
<td>TLU-5 Standards for Alternative Fuels</td>
<td>Not available</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TLU-7 Hybrid Promotion and Incentives</td>
<td>Not available</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TLU-8 Feebates</td>
<td>Not available</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TLU-9 Pay-As-You-Drive Insurance</td>
<td>0.0</td>
<td>2.8</td>
<td>12.3</td>
<td>$0</td>
</tr>
<tr>
<td>TLU-10 Low Rolling Resistance Tires and Tire Inflation</td>
<td>0.0</td>
<td>0.8</td>
<td>4.8</td>
<td>Not available</td>
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<tr>
<td>TLU-11 Accelerated Replacement/Retirement of High-Emitting Diesel Fleet</td>
<td>0.2</td>
<td>0.03</td>
<td>1.2</td>
<td>Not available</td>
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<tr>
<td>TLU-12 Biodiesel Implementation</td>
<td>0.1</td>
<td>1.1</td>
<td>6.2</td>
<td>$0</td>
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<tr>
<td>TLU-13 State Lead-By-Example (via Procurement and Smart Way)</td>
<td>0.03</td>
<td>0.04</td>
<td>0.4</td>
<td>$0</td>
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<tr>
<td>TLU-14 60 mph Speed Limit for Commercial Trucks</td>
<td>0.3</td>
<td>0.5</td>
<td>5.2</td>
<td>$35</td>
</tr>
</tbody>
</table>

Source: Arizona Climate Action Plan
What are Other State DOTs Doing on Climate Change?

- New York:  [http://www.nysdot.gov/nasto/repository/WS4d_Zamurs%20_AASHTO_0.ppt](http://www.nysdot.gov/nasto/repository/WS4d_Zamurs%20_AASHTO_0.ppt)
- Florida:  [http://www.dep.state.fl.us/climatechange/files/action_plan/chap5_trans.pdf](http://www.dep.state.fl.us/climatechange/files/action_plan/chap5_trans.pdf)
Summary

• Moving away from our dependence on oil and reducing GHG emissions will be the greatest challenge to decision-making for transportation policies, programs, and investments in the coming decades.

• Other sectors are moving on climate change policies faster than transportation

• States are adopting sweeping policies with little or no input from transportation agencies or experts

Source: Transportation’s Role in Climate Change: TRB Executive Committee, June 2008
IV. Transportation Strategies to Reduce GHG/Energy Use
CONTEXT/ALL SECTORS: McKinsey Finds Available Technologies can Reduce 3 Billion Tons GHG/Year at < $50/ton (this is 31% of GHG economy-wide in 2030)

The analysis found that abatement options are highly fragmented and widely spread across the economy. Almost 40 percent of abatement could be achieved at “negative” marginal costs, i.e., the savings over the lifecycle of these options would more than pay for the incremental investment, operating, and maintenance costs. Realizing the potential of many negative-cost options would require overcoming persistent barriers to market efficiency.

-- McKinsey & Company
What is the full array of transportation strategies to reduce GHG?

Five GHG “legs”

1. Vehicle efficiency
2. Low-carbon fuels
3. VMT Reductions (including land use)
4. Vehicle/System Operations
5. Construction, Maintenance, and Agency Operations

Examples

- Higher CAFE standards 380 gm/mile to 250 gm/mile 2016
- CA’s low carbon fuel standard
- Less travel, could be in part due to land use changes
- Signalization, ITS, Eco-driving
- Materials, maintenance practices
What kinds of transportation strategies are needed? 2011 Pew Center Report

- Cap and Trade
- Tech Transfer
- Tax Policies
- Low-Carbon Fuel Standard
- System Efficiency
- Vehicle Standards
- Land Use/VMT

City    State    Regional    Federal    International

Parsons Brinckerhoff/Sarah J. Siwek & Associates, Inc. /Climate Change
How much can transportation strategies reduce GHG? 2011 Pew Center Report

- Presents base case + 3 scenarios for transportation GHG reductions
  - Base case: +28% in transportation GHG, 2010-2050
  - Low scenario: -17% in transportation GHG, 2010-2050
  - Mid scenario: -35% in transportation GHG, 2010-2050
  - High scenario: -65% in transportation GHG, 2010-2050

- High scenario: rapid tech progress, aggressive emission standards, 80 mpg for cars, transition to electric and hydrogen vehicles well underway by 2050, auto feebates, carbon pricing, eco-driving, land use policies, congestion pricing, PATP auto insurance, automated highways in 2050 on major routes, etc.

- GHG reductions are roughly equal from (a) vehicle efficiency; (b) low-carbon fuel; and (c) all other strategies combined.

  -- “Reducing GHG from U.S. Transportation,” by David Greene and Steve Plotkin, for the Pew Center on Global Climate Change, January 2011
How much can transportation strategies reduce GHG? Five Case Examples

• Washington State DOT
• Atlanta Regional Commission
• Washington D.C. Council of Governments
• Metropolitan Transportation Commission, San Francisco Bay Area, CA
• California SB375 land use-VMT-GHG law
“WSDOT’s analysis suggests that implementing combinations of aggressive transportation emission reduction strategies can achieve roughly a ten percent reduction in total statewide GHG emissions compared to the 2050 baseline. Implementing many of these strategies would require changes in policy, funding, and authority, and also assumes ambitious improvements in vehicles and fuels. WSDOT did not assess the political or financial feasibility of implementing the strategies.” (highlighting added)

Source: 2011 WSDOT Sustainability report

Note: 10% reduction in 2050 is for GHG from all sectors, but it is not a 10% reduction below current levels. For the on-road sector, it corresponds to about 7-31% reduction in 2050 compared to 2010 on-road GHG, using “aggressive strategies.”
MPO Scenarios Tested

• MPOs tested impacts of:
  – Land use changes
  – CAFE standards
  – Extensive transit investments
  – Operational strategies
  – Pricing measures (Wash, DC and SF)
  – Federal Policies (Wash, DC)

• Combinations of the above
Atlanta GHG Scenario Testing

Source: Atlanta Regional Commission
Washington, D.C. Analysis Methodology

- **MOBILE6** - develop CO2 emissions inventories and rates
- **Offline spreadsheet tool** - estimate reductions from CAFE standards using local fleet information
- **Travel demand forecasting/sketch planning** – estimate VMT reductions
- **CO2 emissions changes by speed** (UCRiverside) – estimate CO2 impacts of traffic flow improvements
### Washington, D.C. - 2010 - 2030

<table>
<thead>
<tr>
<th>Reduction Goal</th>
<th>%</th>
</tr>
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<tbody>
<tr>
<td>CAFE</td>
<td>17.3%</td>
</tr>
<tr>
<td>Alt.Fuel</td>
<td>2.1%</td>
</tr>
<tr>
<td>TERMS*</td>
<td>0.6%</td>
</tr>
<tr>
<td>Short term</td>
<td>3.9%</td>
</tr>
<tr>
<td>Long-term</td>
<td>0.85%</td>
</tr>
</tbody>
</table>

| Shortfall               | 8.75% |

<table>
<thead>
<tr>
<th>Short term reductions (3.9%)</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Increase transit</td>
<td>0.3%</td>
</tr>
<tr>
<td>Pricing</td>
<td>1.5%</td>
</tr>
<tr>
<td>Operational Efficiencies</td>
<td>1.8%</td>
</tr>
<tr>
<td>Reduced travel</td>
<td>3%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Long-term reductions (0.85%)</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Increase transit</td>
<td>0.15%</td>
</tr>
<tr>
<td>Increase bike/ped</td>
<td>0.3%</td>
</tr>
<tr>
<td>Pricing</td>
<td>0.25%</td>
</tr>
<tr>
<td>Reduced travel</td>
<td>0.15%</td>
</tr>
</tbody>
</table>

*TERMS: Access and service improvements to transit, bike/ped projects, rideshare assistance programs, telecommute programs, traffic improvements, engine technology programs.

Source: Washington, D.C. COG
California Climate Change Act – AB32

California GHG Emissions Reduction Measures 2020

- Med/Heavy Duty Vehicle Fuel Efficiency
- Low Carbon Fuel Standard
- Light Duty Vehicle Fuel Efficiency
- High Speed Rail
- Goods Movement
- Renewable Portfolio Standard
- Industrial Measures
- Energy Efficiency
- Cap & Trade
- Uncapped Sources
- Cap & Trade
- Million Solar Roofs
- Vehicle Efficiency Measures
- SB375
• With expected population growth from 7M to 9M, CO2 emissions from LDV actually increase 24% over this period from 74,641 tons/day to 92,223 tons/day.

-18%  -12%  -2%  0%  +2%

- Combined land use + Pricing + TDM
- Most aggressive land use
- RTP Updated Projections
- RTP Projections

Source: Metropolitan Transportation Commission/SB375 Report to CARB
Higher Federal Role is Needed
(WASHCOG Analysis)

Aggressive federal measures would almost get us there.

Source: Washington, D.C. COG
MPO Case Study Findings

- MPOs have small influence over reductions: combinations of land use, transit, TDM can help but fall short of goals in all cases
- Per capita reductions of GHG of 15% or more will likely require road pricing
- Net increases in GHG from transportation are likely without technology and fuel measures
- Higher Federal role in GHG reductions could result in substantial reductions
  - 55 mpg by 2030 LDV CAFE
  - HDV CAFE (double heavy duty fuel economy)
  - $7/gallon gas
Vehicle/Fuel Improvements Will be the Dominant Source of GHG Reductions for LDVs

- 50% cut in GHG/mile is feasible from conventional technologies and biofuels by 2020-2030
- Compare these GHG rates in U.S. and Europe:
  - 380 grams/mile 2009 in the U.S.
  - 250 grams/mile 2016 under new Obama standard
  - 256 grams/mile 2007 actual in the E.U.
  - 209 grams/mile 2012 under E.U. regulation
  - 153 grams/mile 2020 under E.U. regulation
- LDV purchase cost will rise, but fuel savings will be greater than vehicle cost increase
- Win-win-win: reduces energy use, reduces GHG, saves money
Vehicle “decarbonization” is critical

“In the long term, carbon free road transport fuel is the only way to achieve an 80-90% reduction in emissions, essentially “decarbonization.”

--The King Review for the U.K. Government, by Professor Julia King, Vice-Chancellor of Aston University and former Director of Advanced Engineering at Rolls-Royce plc, March 2008

“[I]n the period beyond 2100, total GHG emissions will have to be just 20% of current levels. It is impossible to imagine this without decarbonization of the transport sector.”

Vehicles: Potential Fuel Economy Increase by 2030

A 2007 MIT study predicts MPG gains of 80-85% for model year 2030 vehicles via continuous improvement of conventional technology at a rate of 2-2.5%/year.

Fuels: Low-Carbon Fuels

- Many different low-carbon fuel possibilities:
  - Corn ethanol
  - Sugar cane ethanol
  - Biodiesel
  - Cellulosic biofuel
  - Algae biofuels
  - Hydrogen
  - Electricity from renewable energy or nuclear power
  - Electricity from utilities with carbon capture & storage

- Carbon intensity measured as GHG/unit of energy – must account for “life-cycle” emissions

- California LCFS:
  - Adopted in 2008
  - Aims to reduce carbon intensity of passenger vehicle fuels by 10% by 2020
  - Measures carbon-intensity on a life-cycle basis – "from field to wheel."
Fuels: Lifecycle GHG

Figure 1. Net Lifecycle Greenhouse Gas Emissions By Lifecycle Component With 100 Year Time Horizon And 2% Discount Rate.
Lifecycle Emissions

Components of lifecycle emissions:
Assumptions are made about the amount of emissions from these elements of the lifecycle.

- Domestic agriculture
- International land use change
- Tailpipe
- Domestic land use change
- Fuel and feedstock transport
- International agriculture
- Fuel production
• EISA 2007 establishes fuel categories and eligibility requirements
• Determine if renewable fuels meet GHG thresholds set for four types of renewable fuels (E.g., corn ethanol, soy-based biodiesel, switchgrass ethanol, waste grease biodiesel)
• Emissions compared to 2005 for gasoline and diesel (depending on which is being replaced)
• Lifecycle Emissions analysis-
  – Aggregate quantity of GHGs related to full fuel cycle including all stages of production and distribution
Biofuels

  - Includes 21 billion gallons of advanced biofuels
  - Up from 5 billion in 2006.
- To achieve that goal, EPA mandates % of biofuels to be blended into all gasoline.
Vehicles & Fuels: Possible State DOT Roles in Decarbonization

1. **Influence state policies** on low-carbon fuels/vehicles
2. **Use planning scenarios** to emphasize need for decarbonization
3. **Plan/provide plug-in infrastructure** for electric and PHEV vehicles (coordinate with utilities)
4. **Support federal transportation funding** for technology/fuel R&D
5. **Educate** the public and elected officials
6. **Provide incentives** for consumers to use lower carbon fuels/vehicles (lower fees for low-carbon vehicles/fuels)
7. **Support** low-carbon fleet conversion for state vehicle fleets
8. **Adjust** facilities and operations to accommodate decarbonized vehicles and fuels
More than Vehicles and Fuels:
Achieving 74% LDV GHG Reduction by 2050 requires 100 mpgge LDV Fleet + 10% Operational Efficiency + Lower VMT Growth (1%/year)

Reducing VMT growth (smart growth, transit, carpooling, vanpooling, walking, TDM, and pricing-related strategies) to +1.0% annual.

System/vehicle operational efficiency (speed limit reductions/enforcement, ecodriving, smoothing out traffic flow, proper tires and inflation, removing bottlenecks, etc.)

Highest LDV CO2e Emissions Reductions (79% Reduction CO2e/vehicle Mile) by 2050

Light duty fleet GHG emissions

GHG Goal 70% Reduction from 2005
VMT: Cautionary Note

VMT is not a good metric for GHG reductions, as VMT does not take into account:

- Type of fuel
- Fuel efficiency of vehicle
- Passenger vs freight trip
- Number of passengers per vehicle
- As passenger fuel economy increases, effectiveness of VMT reductions diminishes
- TCM lessons from 1990s – marginal emission reductions, increasing costs as technology improves

Reducing VMT is part of the strategy set – but just one element, not the end goal.
VMT: Many Strategies to Reduce VMT Growth

- Pricing - economy-wide (carbon tax or carbon cap and trade, which would raise fuel prices)
- Pricing – transportation (PAYD insurance, parking pricing, tolls, higher user fees, cordon pricing, congestion pricing, etc.)
- Carpooling and vanpooling
- Bike/ped and transit
- Trip chaining
- Tele-working, tele-shopping, tele-education, tele-medicine
- Compact land use
VMT: Consumers respond to pricing

Gasoline Prices Surged in Summer ‘08, and Consumers Responded, revealing fuel price elasticity

National Vehicle Miles Traveled vs. Gasoline Prices

- 2005: 258 billion miles, $2.19/gallon
- 2006: 260 billion miles, $2.93/gallon
- 2007: 260 billion miles, $0.10/gallon
- 2008: 250 billion miles, $4.10/gallon

Monthly total VMT for June of each year.
VMT: Carpooling and Vanpooling Potential

- Receives limited support and has been declining
- Yet is more important than is recognized (provides 300-400% of the PMT for work trips nationally as transit)
- Low cost for government, wide availability, saves users money
- Effective in all kinds of areas – rural, small urban areas, suburban, urban
- Nearer-term payoff than most transportation strategies
- Atlanta MPO and WASHCOG pay for commuters to carpool ($3/day Atlanta, $2/day WASHCOG)
VMT: Transit’s Potential

• It is hard to generalize about transit
• Transit VMT/GHG benefits are realized with highly patronized, high-occupancy services -- a market limited to high volume, generally densely developed corridors
• Commuter rail is 38% less carbon intensive than average auto – but bus transit is more carbon intensive than average auto use (national averages; some corridors better, some worse)
• Two APTA studies: (a) Transit reduced GHG by 6.9 MMT* in 2005; or (b) by 37 MMT in 2005 (this is 0.3% to 1.7% of U.S. transportation GHG)

* MMT = million metric tons
## VMT: Carbon Intensity of Different Modes

<table>
<thead>
<tr>
<th>Mode</th>
<th>Occupancy</th>
<th>Lbs of GHG/PMT*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Auto, SOV</td>
<td>1.00</td>
<td>0.99</td>
</tr>
<tr>
<td>SUV, average</td>
<td>1.72</td>
<td>0.71</td>
</tr>
<tr>
<td>Transit Bus, average</td>
<td>8.80</td>
<td>0.71</td>
</tr>
<tr>
<td>Auto, Average</td>
<td>1.57</td>
<td>0.58</td>
</tr>
<tr>
<td>Carpool, average</td>
<td>2.10</td>
<td>0.47</td>
</tr>
<tr>
<td>Amtrak</td>
<td>20.50</td>
<td>0.39</td>
</tr>
<tr>
<td>Rail Transit, average</td>
<td>22.50</td>
<td>0.39</td>
</tr>
<tr>
<td>Motorcycles, average</td>
<td>1.20</td>
<td>0.37</td>
</tr>
<tr>
<td>Commuter Rail, average</td>
<td>31.30</td>
<td>0.36</td>
</tr>
<tr>
<td>Vanpools, average</td>
<td>6.10</td>
<td>0.21</td>
</tr>
<tr>
<td>Walking and Biking</td>
<td>1.00</td>
<td>0.00</td>
</tr>
</tbody>
</table>

* PMT = Passenger Mile Travelled – national averages, DOE data
<table>
<thead>
<tr>
<th>GHG Reduction</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.3 to 1.7%</td>
<td>GHG reduction in 2050 on-road household GHG, for the most aggressive land use scenario supported by full panel (2009 TRB report, “Driving and the Built Environment,” peer reviewed)</td>
</tr>
<tr>
<td>&lt;1 to 4.4%</td>
<td>GHG reduction in 2050 on-road GHG, for up to 90% of new development compact with high quality transit (2009 “Moving Cooler” report)</td>
</tr>
<tr>
<td>3.5 to 5%</td>
<td>GHG reduction for 2007-2050, cumulative, as % of transportation GHG, for compact land use with very aggressive assumptions (2007 “Growing Cooler” report)</td>
</tr>
</tbody>
</table>
Bundling these 9 strategies at the “Aggressive” level leads to these changes in on-road GHG:

~2.7% GHG reduction cumulatively, 2005-2050

And at the “Maximum” level:

~4.4% GHG reduction cumulatively, 2005-2050

What are the assumptions behind these reductions?

“Maximum” level = $1.2 trillion transit expansion + $220 billion in HSR and conventional intercity rail expansion + 50% cut in all transit fares + 90% of all new development is compact starting in 2005 + “complete streets” policies + bike lanes at ¼ mile intervals + 6% of CBD areas are nonmotorized by 2015 + urban parking freeze in 2015

Source: Estimates based on data in Moving Cooler, 2009
Operations: Many Strategies, with 10-20% GHG Reduction Potential

Potential for 10-20% LDV GHG reduction by improving traffic flow and individual driving behavior:

– Managing speed (35-55 MPH is optimal)
– Speed limits/enforcement (could reduce fuel use 2-4%)
– Eco-driving
– “Active” traffic management to smooth traffic flow
– Improving signal timing (could reduce 1.315 MMT CO$_2$/yr)
– Roundabouts (multiple benefits)
– Reducing car and truck idling
– Work zone management to smooth flow
– Incident management
– Eliminating bottlenecks
Operations: EcoDriving

- EcoDrivers can reduce fuel and CO2 by 10-15% through smart driving and vehicle maintenance.
- 10 years of Dutch experience found 10% GHG reduction and extremely cost effective ($6-9.50/ton reduced)
- Pilot in Denver with 300 drivers achieved 10% fuel reduction and similar GHG reduction
- Useful for HDV, MDV, and LDV drivers
- Major push in Europe as GHG strategy
- Aided by dashboard displays of real-time MPG
Operations: EcoDriving

• EcoDrivingUSA™ -- nationwide effort to increase overall vehicle fuel economy and preserve the environment
• Partnership of Governors, auto industry, environmental groups
• Website:
  – Be an EcoDriver
  – EcoCalculator
  – EcoDriving Quiz
  – Virtual Road Test
  – Is Your Community EcoDriving?
  – Educational Tools
  – News and Events
  – Join the EcoDriving Movement
  – Link this website on your blog or site
• For more information contact: Seena Faqiri at 202.326.5518 or sfaqiri@autoalliance.org.
Operations: Effect of Speed on GHG

Operations: Traffic Operation Strategies To Reduce CO₂

• Began 2002, 10-year project
• Climate Trust funded project and pays for CO2 offsets from project
• Improve signal timing on 17 major arterials
  – Optimize traffic flow
  – Reduce idling, acceleration, C02 emissions and emissions from criteria pollutants
• Model for traffic signal offset projects

Construction/Maintenance/Agency Operations:
Strategies to Reduce GHG, Energy Use, and Costs

- LED traffic lights
- LED roadside lighting
- Low carbon pavement
- More durable pavements
- LEED buildings
- Reduced roadside mowing
- Vegetation management on ROW
- Solar panels/wind on ROW
- Alt fuels and hybrid vehicles in DOT fleets
- Alt fuel buses
Solar Panels for Highway Lighting – Oregon DOT

- 594 solar panels produce 122,000 KWH/year to light interchange
- Avoids nearly 43 metric tons of GHG/year from normal electricity
- $1.28 M project in operation for over a year
- PPP of OR DOT, PGE, and US Bank, using state and federal tax credits
- Could be a model for other DOTs
- ORDOT planning 2 additional projects
- [www.oregonsolarhighway.com](http://www.oregonsolarhighway.com)
WSDOT is using a PPP to provide “Quick Charge” stations for electric vehicles along I-5 corridor.

- $1.32 million seed funding from US DOT grant.
- Target completion of EV stations: 10/31/11.
- 9 stations along I-5 and SR-2, from OR border to Canadian border.
- Coordination with Oregon DOT and, eventually, California.
- Pooled fund study opportunity: Strategies and Best Practices to Support Commercialization of EV and Infrastructure.

www.westcoastgreenhighway.com
Pilot established in 2008
Assess how much carbon can be sequestered by native vegetation in the NHS right-of-way
Determine feasibility of carbon credit sales by state DOTs and estimates of amount of revenue potential for state DOTs
Final report available
- Estimate of NHS ROW in each state
- Highway carbon sequestration estimator
Alternative Energy Resources for State DOTs

- Missouri DOT report, January 2011
- Surveyed other state DOTs
- Evaluated cost-effectiveness of various strategies
- MoDOT recommended pursuing alt energy sources:
  - Wastewater treatment (“baffled bio-reactors”)
  - LED roadway lighting (in addition to LED traffic signals)
  - Renewable solar/wind installations
  - HVAC efficiency measures
  - Interior building lighting (including fluorescents, reflectors, and LED lamps)
  - High performance window systems
  - Energy management system
  - Re-commissioning and continuous commissioning of buildings

Source: Alternative Energy Resources for the Missouri Department of Transportation, 2011
Freight: Truck GHG is Growing Faster than Other Transportation GHG
Freight: Modal GHG Comparisons

Source: Texas Transportation Institute and Center for Ports and Waterways
Freight GHG Strategies in State Climate Action Plans

- Anti-idling programs
- Truck stop electrification
- Speed limit enforcement
- Freight villages/consolidation centers
- Feeder barge container service
- Bottleneck reduction
- Traffic flow improvements
- Pre-clearances at scale houses
- Truck driver training
- EPA SmartWay up-grade kits & loans & diesel retrofits
- Improvements to highway grade crossings

- Efficient Intermodal Facilities
- ECOdriving
- Incentives to retire older trucks
- Freight logistics improvements
- Shifting freight from truck to rail
- Hybrid power trucks
- Low-viscosity lubricants
- Single wide-base tires
- Automatic tire inflation systems
- Retrofits - PM and “Black carbon” reduction technologies 85% reduction in PM

Detailed info available in NCHRP 20-24(59), Appendix C
“Best Practices Guidebook for GHG Reductions in Freight Transportation”

- NC State University report to US DOT, 2007
- Covers trucks, freight rail, marine, air freight, pipeline
- Identifies 33 “best practices” for reducing truck GHG (plus 26 for other freight modes)
- All 33 could reduce truck GHG in 2025 by 12% below 2003 (compared to 67% increase in truck GHG if best practices are not implemented)
Freight: GHG, Diesel and Black Carbon

- Black carbon is a major contributor to climate change, diesel engines a primary source of BC
- Black carbon particles absorb sunlight, generate heat in the atmosphere, warms the air
- Every gallon of diesel emits 22 pounds of CO2
- CO2 has long atmospheric lifetime; black carbon remains in atmosphere only a few weeks
  - Reducing black carbon provides immediate reduction in the rate of warming along with public health benefits

Parsons Brinckerhoff / Sarah J. Siwek & Associates, Inc. | Climate Change
Diesel truck retrofits reduce PM 99% (= 2007 EPA standards) and also reduce black carbon.

Locomotive retrofits reduce PM and black carbon; achieve over 76% PM and 25% fuel efficiency.

Cost-effective way to reduce emissions and save energy immediately.

Good CMAQ candidates.

Retrofits of construction equipment, state fleets and locomotives could be promising as state DOTs work to reduce emissions to meet air quality requirements.
Pricing: A Necessary and Powerful Tool

- Without price signals, reducing driving extremely difficult
- Pricing incentivizes 3 legs of the GHG stool
  - Purchase of lower-carbon vehicles and fuels; and
  - Lower VMT
  - Eco-driving behavior
- Many different pricing tools available: auto “feebates,” carbon/fuel prices, PAYD insurance, mileage fees, parking pricing, congestion pricing, etc.
- Pricing produces revenue to invest in alternatives

“We know we need to get ready for a world in which energy will only be more expensive.” -- Wal-Mart
Potential GHG reductions, cumulatively 2010-2050, compared to on-road baseline GHG

- 15,186 mmt – carbon pricing equiv to $2.71/gallon
- 3,361 mmt – VMT fees equiv to $2.53/gallon
- 2,428 mmt – speed limit reductions
- 2,233 mmt – PAYD auto insurance (100% coverage)
- 1,815 mmt – eco-driving by 20% of drivers
- 1,445 mmt – at least 90% of new urban development is compact, with high quality transit
- 1,241 mmt – congestion pricing fully implemented in 120 metro areas at 65 cents/mile
- 575 mmt – $1.2 trillion transit expansion

MMT = million metric tons

Source: “Moving Cooler,” 2009
“Maximum” strategy bundle can reduce cumulative on-road GHG by 16% over 40 years, compared to on-road baseline:

- Tolls imposed in 2010 at 5 cents/mile on national Interstate system
- Congestion pricing at 65 cents/mile in 120 metro areas
- $400 permit fee to park on neighborhood streets
- $1.2 trillion transit expansion
- Bike lanes every 1/4 mile
- New and increased parking fees
- 90% of new urban development is compact, in dense Census tracts, with high quality transit
- Heavier and longer trucks allowed (up to 139,000 lbs)
- Eight more freight strategies
- Eco-driving by 20% of drivers
- Speed limit reductions
- Top 200 bottlenecks improved to LOS

Source: “Moving Cooler,” 2009
• “The most effective measures available include fuel taxes, vehicle and component standards, differentiated vehicle taxation, support for eco-driving and incentives for more efficient logistic organization, including point of use pricing for roads.”

• “More integrated transport and spatial planning policies might contain demand for motorized transport.”

• Mode shifts … cannot … form the corner-stone of effective CO2 abatement policy and the prominence given to modal shift policies is at odds with indications that most modal shift policies achieve much lower abatement levels than measures focusing on fuel efficiency.”

• “Ultimately higher cost energy sources …. will be required if there are to be further cuts in transport sector CO2 emissions.”
Many strategies are needed to reduce transport GHG. No silver bullet. Will need full mix of strategies including:

- Maximize energy efficiency of current vehicle technology
- Decarbonize vehicles and fuels world-wide
- Adopt pricing measures to reward conservation and tech innovation
- Push “eco driving” and system/speed management
- Adopt more efficient land use
- Support carpools & vanpools, biking, walking, transit use, trip chaining, telecommuting
- Adopt low carbon, energy-conserving strategies in construction, maintenance, and agency operations
- Retrofit legacy fleets to reduce PM and black carbon
- Implement wide-ranging freight technology and logistics improvements
V. Federal Direction -- Legislation, Planning, NEPA, etc.
Federal cap-and-trade legislation: unlikely for foreseeable future
   (but state/regional cap-and-trade programs are proceeding – Northeast and California)
EPA authority on GHG: Congress may limit it or roll it back
Federal energy legislation: could take many different forms
   (incentives for efficient vehicles, R&D, “clean energy” support, etc.)
Political climate: volatile – uncertain outcomes
GHG planning requirements: could be put in transportation authorization legislation – or energy bills
Cap-and-Trade – How it Works

- Set a “cap” on total GHG emissions, and reduce it over time
  - 17 to 20% reduction by 2020
  - 83% reduction by 2050
- Issue "allowances" to emit GHGs within the cap
  - Some allowances are auctioned; others distributed free
- “Allowances” are an economic asset that can be traded
  - Receiving a free allowance is like receiving dollars
- “Offsets” can be purchased in lieu of allowances
  - An offset is obtained by paying for a reduction made by sources outside the cap, including sources in other countries
  - Example: pay to avoid deforestation in a developing country

Source: Bill Malley, Perkins Coie
Federal Cap and Trade Bills – Big Impact on GHG, but Small Impact on Transportation GHG

EPA study found:

"The increase in gasoline prices that results from the increase in the carbon price ... is not sufficient to substantially change consumer behavior in their vehicle miles traveled or vehicle purchases ...."

"The relatively modest indirect price signal on vehicle manufacturers from this particular cap-and-trade policy creates little incentive for the introduction of low-GHG automotive technology."

Source:  http://www.epa.gov/climatechange/economics/economicanalyses.html
Existing Law: Clean Air Act – EPA GHG Endangerment Finding

- EPA can regulate GHG under existing Clean Air Act (CAA)
- December 2009 EPA finding:
  - Atmospheric concentrations of GHG “endanger” public health and welfare (per CAA section 202(a))
  - Emissions of GHG from new motor vehicles “contribute to” air pollution which is endangering public health and welfare
- Based on this finding EPA is obligated to regulate GHG (e.g., GHG standards for autos)
- Based on this, GHG conformity is possible, but very unlikely
- Endangerment finding challenged in court by several states
- Congress may limit/rollback EPA authority over GHG
Several bills contain these provisions – which could be added to authorization legislation or energy bills:

- **TARGETS AND STRATEGIES**: States and TMA MPOs must develop GHG reduction targets and strategies, as part of transportation plans.
- **PROGRESS**: States and TMA MPOs must “demonstrate progress in stabilizing and reducing” GHG emissions.
- **METHODOLOGIES**: EPA must issue regulations on transportation GHG goals, standardized models, methodologies, and data collection.
- **CERTIFICATION**: US DOT shall not certify state or MPO plans that fail to “develop, submit or publish emission reduction targets and strategies.”
- **PERFORMANCE REQUIREMENTS**: US DOT must establish requirements, including performance measures, “to ensure that transportation plans… sufficiently meet the requirements…, including achieving progress towards national transportation-related GHG emissions reduction goals.”
How should climate change be considered in NEPA?

- Under NEPA’s broad scope, some EISs/EAs are already considering climate change
- Litigation history is building
- CEQ issued draft guidance on February 18, 2010
- Comments were due: May 24, 2010
- Proposal:
  1. Evaluate proposed actions that are reasonably expected to cause direct emissions of 25,000 metric tons or more of CO2-equivalent on an annual basis, and,
  2. Consider impact of climate change on the project (e.g., effect of rising sea level on coastal bridges)
- AASHTO and FHWA provided extensive comments
CEQ Proposal: Consider GHG on a Project Level

1. Cumulative emissions over life of project*
2. Construction-related emissions
3. Emissions from vehicles using the highway
4. Up-stream emissions from fueling cycle (drilling, refining, shipping, etc.) and vehicle cycle?
5. Emissions effects of land use changes?
6. Emissions from roadway maintenance, lighting, etc.?
7. Others?

* 25,000 tons GHG/year is a reference point, above which to do project GHG analysis – but this is not a “bright line test
25,000 metric tons/year = 43,000,000 VMT/year or about 120,000 VMT/day*

• Therefore, action that would increase VMT by 120,000/day (NEW VMT) could trigger analysis, with all else being equal (e.g. speeds, congestion, fleet mix, etc.)

• This conversion is based on current average MPG; in future, higher MPG vehicles would allow a higher VMT before reaching 25,000 metric tons/year
CEQ: Also Consider Climate Impacts on Project

- Will the project be impacted by climate change? (rising sea level, more severe precipitation, etc.)
- CEQ said:
  - Discuss **climate change effects that should be considered in project development** such as flooding in low lying areas, development of coastal infrastructure
  - Also discuss **reasonably foreseeable future conditions** with no action
AASHTO Comments on CEQ Draft

- Planning process is the appropriate venue for developing and implementing GHG reduction strategies -- not project level
- Project-level analysis not meaningful
  - Inadequate tools
  - Disconnect between global emissions vs project-level analysis
  - Basis for 25,000 metric ton threshold?
- Major emphasis on adaptation needed in transportation policy
It all depends…

- What emissions sources are included in total?
- How are direct and indirect emissions defined?
- Life cycle emissions?
- What analysis year (or years) are used?
- Speed assumptions?
- Fleet MPG assumptions?
- New VMT vs. VMT shifted from elsewhere?
- Many questions…
Recent History – Court Rulings on NEPA/GHG

3 cases overturned FONSI/EA/EIS for lack of climate analysis:
– Center for Biological Diversity et al. v. NHTSA
– Mid States Coalition for Progress v. Surface Transportation Board
– Border Power Plan Working Group v. DOE

4 cases upheld lack of climate analysis or sufficiency of analysis:
– Audubon v. DOT, 2007
– Friends of the Earth v. Mosbacher, 2007
– Association of Public Agency Customers, Inc. v. Bonneville Power Admin, 1997
– Mayo Foundation v. Surface Transportation Board, 2006
AASHTO Position on Climate Change/Energy

- Accelerate energy technology innovation to increase energy efficiency and decrease the carbon intensity of the energy supply
- Increase vehicle fuel economy and advance biofuels by:
  - Support federal R&D to de-carbonize vehicles/fuels
  - Continue to strengthen fuel economy standards
  - Promoting and providing funding for clean vehicle and fuel programs
- Reduce VMT growth to 1% per year
- Double transit ridership by 2030
- Increase intercity passenger rail

See AASHTO “Real Transportation Solutions” at http://www.climatechange.transportation.org/
Current activities:
- Research (Gulf Coast study, VMT, GHG mitigation strategies, GHG estimation tools, adaptation pilots)
- Education (webinars, workshops, Clearinghouse, Q&As, peer-to-peer exchanges)
- Outreach/collaboration (NOAA, USGS, CEQ, EPA, DOE, FTA, HUD, Pew Center on Climate Change, AASHTO, AMPO, etc.)
- Technical assistance (GHG modeling, adaptation, NEPA documentation)
- Input for CEQ guidance on NEPA/climate change
- Linkages with sustainability, CSS, planning

Future activities:
- Reauthorization proposal re climate change
- Guidance for considering adaptation in NEPA documents
- Working with EPA and others on data/modeling issues
• Forecasting tools needed to estimate GHG impacts of strategies
• Most statewide and regional travel models not sensitive to GHG/TSM/TDM strategies
• Travel models need to link with GHG emission models
• FHWA is sponsoring carbon calculator and mitigation strategies guidebook
  – Enhancing GreenSTEP model for this project
  – Developing users manual
GreenSTEP Model
(developed by Oregon DOT, enhanced by FHWA)

- Synthetic Household Generation
- Urban area land use and transportation system characteristics
- Household vehicle ownership
- Household vehicle travel
- Household vehicle characteristics

Adjust household income due to travel cost change

1x

- Demand management program adjustments to VMT
  - Heavy vehicle VMT
  - MPG adjustments due to congestion
    - Fuel consumption by type
      - CO2 equivalent emissions by fuel type (including well to wheels)
GreenSTEP

Inputs

• Demographic changes
• Urban/rural development share
• Metropolitan/other densities
• Urban form
• Transit service
• Highway capacity
• Vehicle fuel efficiency and ages
• Electric vehicles
• Pricing (fuel, carbon, VMT)
• Demand management
• Congestion effects on MPG
• Carbon content of fuels
• CO2 production from electrical power use for transportation

Outputs

• VMT
• Fuel use
• Electricity use
• CO2 equivalent emissions
Example: Using GreenSTEP to Analyze GHG Policy Options

Used GreenSTEP to analyze:
- Travel Demand Management
- Vehicle Technology: increased vehicle MPG and more EVs
- TDM and Vehicle Technology

Results:

**Vehicle Miles Travelled**
- TDM: -1.2%
- Vehicle Technology: 0.9%
- TDM and Vehicle Technology: -0.3%

**CO₂ Equivalent**
- TDM: -4.8%
- Vehicle Technology: -33.6%
- TDM and Vehicle Technology: -36.7%
<table>
<thead>
<tr>
<th>System Efficiency</th>
<th>Vehicle Efficiency</th>
<th>Travel Demand Management</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Resurfacing Highways</td>
<td>• Anti-idling Through Regulation</td>
<td>• Car Sharing</td>
</tr>
<tr>
<td>• Speed Reductions</td>
<td>• Truck Stop Electrification</td>
<td>• Fuel Tax Increases</td>
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<tr>
<td>• Improved Construction Materials</td>
<td>• Anti-idling Education And Campaigns</td>
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<tr>
<td>• Signal Optimization</td>
<td>• Eco-driving, Including Maintenance And Dynamic Eco-driving</td>
<td>• Land Use Management, Including Car-free Planning And Non-motorized Transport</td>
</tr>
<tr>
<td>• Roundabouts</td>
<td>• Emission Standards</td>
<td>• Parking Management And Parking Pricing</td>
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<tr>
<td>• Incident Management</td>
<td>• Emissions Capping And Trading</td>
<td>• Pay-as-you-drive Vehicle Insurance</td>
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<tr>
<td>• Road Capacity Expansion</td>
<td>• Encouragement Of Uptake Of Small, Low-speed Vehicles</td>
<td>• Ridesharing</td>
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<tr>
<td>• Bottleneck relief/routing</td>
<td>• Feebates, Gas Guzzler Taxes And Annual Registration Fees</td>
<td>• Road Pricing, Including Distance-based, Distance-based Emissions Fees, Cordonring</td>
</tr>
<tr>
<td>• Roundabouts</td>
<td>• Fuel Efficiency Standards</td>
<td>• TDM Education And Outreach</td>
</tr>
<tr>
<td></td>
<td>• Inspection And Maintenance (I/M) Programs, Including Roadside Emissions Monitoring</td>
<td>• Telework</td>
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<td></td>
<td>• Scrappage Programs</td>
<td>• Transit Improvements</td>
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<td>• Tax Credit For Cleaner Vehicles</td>
<td>• Transit Incentives</td>
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<td></td>
<td>• Transit Emission Reduction Programs Via Cleaner Fuels</td>
<td></td>
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<tr>
<td></td>
<td>• Truck Vehicle Retrofits</td>
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<td></td>
<td>• Passenger Vehicle Retrofit</td>
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<tr>
<td></td>
<td>• Low-carbon Fuel Standard</td>
<td></td>
</tr>
</tbody>
</table>
Summary

- Federal legislation is highly uncertain
- Energy legislation is more likely than cap-and-trade
- GHG planning requirements may be legislated
- In absence of federal requirements, states may require GHG targets and strategies
- CEQ guidance on NEPA may be issued -- meanwhile, don’t ignore climate change in NEPA documents
- FHWA is focusing on research, tech assistance, outreach, tools, interagency collaboration
VI. Climate Adaptation for Transportation
Why Does Adaptation Matter?
Why Does Adaptation Matter?

Source: abc.net.au
Why Transportation Agencies Should Plan for Adaptation

- Sea level rise & storm surges
  - Destruction of bridges
  - Erosion & permanent inundation of roads
  - Disruption of evacuation routes & road network
  - Bridge clearance limitations
- Other types of impacts
  - Increased flooding
  - Pavement and rail buckling
  - Increased flooding
  - More severe inland storms
  - Increased maintenance

Source: http://mceer.buffalo.edu/research/Reconnaissance/Katrina8-28-05/05BiloxiBay1/09lg.jpg

Parsons Brinckerhoff / Sarah J. Siwek & Associates, Inc. | Climate Change
“Actions by individuals or systems to avoid, withstand, or take advantage of current and projected climate changes and impacts. Adaptation decreases a system’s vulnerability, or increases its resilience to impacts.”

--Pew Center on Climate Change
Potential Impact of Climate Change on U.S. Transportation (TRB Special Report 290)

Transportation Research Board
Division on Earth & Life Studies
National Research Council
Climate change will affect every mode of transportation and every region in the United States, and the challenges to infrastructure providers will be new and often unfamiliar.

State and local governments and private infrastructure providers will need to incorporate adjustments for climate change into long-term capital improvement plans, facility designs, maintenance practices, operations, and emergency response plans.
• Design standards will need to be re-evaluated and new standards developed as progress is made in understanding future climate conditions and the options for addressing them.
• Transportation planners will need to consider climate change and its effects on infrastructure investments. Planning timeframes may need to extend beyond the next 20 or 30 years.
• Institutional arrangements for transportation planning and operations will need to be changed to incorporate cross jurisdictional and regional cooperation.
U.S. -- States Focusing on Climate Adaptation

- Coastal states most concerned
- Concern growing in noncoastal states
- Multi-sector reviews of vulnerability
- Often led by resource agencies
- State DOT role -- significant to minor
- Still early on the learning curve

- Massachusetts
- New York
- Pennsylvania
- Maryland
- Virginia
- North Carolina
- Florida
- Michigan
- Colorado
- Washington
- Oregon
- California
- Alaska
- Hawaii
- … and more….
U.S. -- State Climate Adaptation Plans

- Blue: Adaptation Plan in Progress or Completed
- Brown: Adaptation Plan Recommended in C.A.P.*
U.S. -- Recent Temperature Changes

Annual Mean Temperature Anomalies, 1901-2005

Source: EPA
U.S. -- Recent Precipitation Changes

Annual Precipitation Trends, 1901-2005

Source: EPA

Change in precipitation (% per century):
Global Climate Model Basics

- **Global Climate Model (GCM):** a set of computer codes that solve mathematical equations which emulate the Earth’s climate system
- GCMs operate in time steps
  - Projections made for each hour
  - Hourly values compiled to form climate projections
- Calculations performed at grid cell scale
Global Climate Model Basics

Source: NOAA
Global Climate Model Uncertainty

• Uncertainty is substantial in climate modeling and builds each step of the way

Source: Climate Change Policy, edited by Stephen H. Schneider, Armin Rosencranz, and John O. Niles.
• Uncertainty in precisely how all aspects of the climate system work together
  – Result: Different models use different assumptions and produce somewhat different outputs even if given the same input data
• Some major global climate patterns have not yet been accurately modeled (e.g. El Nino)
• Uncertain climate system feedback loops
  – Methane releases from melting permafrost and arctic lake & sea bottoms?
  – Increased precipitation and snowpack in Siberia causing colder winters in the Eastern U.S. and Europe?
• 9 regions (6 continental US, Alaska, Hawaii, Caribbean)
• Projected changes by region:
  – Annual, Seasonal Temperature (change in °F)
  – Seasonal Precipitation (% change)
  – Where information exists:
    • Sea level rise
    • Storm activity

• Regional focus, also includes information at the international, national and State and local levels (as available)

• Available on FHWA climate change website
• Provides information on climate change projections for transportation decision makers
• Summarizes current science
  – Science is progressing, expect information to improve over next 3-5 years
• Short, medium and long term
• Based on low and high GHG emission scenarios
• Assistance from Climate experts -- NOAA, USGS, DOE
FHWA Climate Vulnerability/Risk Assessment Model

- Goal: Help transportation decision makers identify assets:
  - most exposed to the threats from climate change; and/or
  - could result in the most serious consequences as a result of those threats
- Draft model completed in 2010
- 5 pilots now underway to test model: WS, NJ, HI, VA, CA
- Finalize model for wider use
1. Develop inventory of infrastructure assets
2. Gather climate data
3. Assess risk and vulnerability of assets to projected climate change
4. Analyze, prioritize adaptation options
5. Monitor and revisit

www.fhwa.dot.gov/hep/climate/conceptual_model62410.htm
So what do we have to do NOW?

- Consider temporal factors and uncertainties
  - Planning / Design: 10 to 100 years out
    - Funding and flexibility to accommodate expected changes
  - Maintenance: 1-10 years out
    - Limited funding and limited flexibility
  - Operations: 0.0001 – 1 year out
    - “Do the best you can…”
Implications for Environmental Reviews

• In NEPA process, sponsor must consider project vulnerability to future climate change
• US ACE may raise new issues in wetland permitting due to climate impacts
• USCG may raise climate impacts in bridge permitting
• DOI may raise issues & require more analysis for ESA, due to uncertainty of climate impacts on species
More Intense Storms: Implications for Facilities Design

- Changes in bridge height, foundation and superstructure
- Larger hydraulic openings for bridges over waterways
- Changes in suspended and cable-stay bridges to withstand more severe wind and turbulence
- Changes in materials specifications
- Changes in culvert design, capacity, and location
- Changes in slope design
- Changes in pavement drainage systems
- Heavier and lengthier armoring of river and stream banks and ditches to prevent erosion
- Greater pavement crowns to move runoff off pavement quicker
• Design additional in-system detention to meter runoff outflow
• Eliminate bridge design elements that could make a bridge scour critical
  – i.e. piers in the river, spread footings, use more sheet piling left in place
• Design terraced vegetated slopes using a variety of plant species
• Design more robust pavement markings that can be seen during wet/night conditions
• Provide larger capacity pumps/pump stations for below grade freeways to prevent flooding
More Intense Storms: Implications for Construction

Overall Strategy: protect motorists, workers, and the environment from hazards created in work zone by strong weather events

- Stronger specifications for protection of work under construction
- Stronger specifications that require contractor response plans for work zone impacted by high intensity storms
Hotter Drier Summers: Implications for Design

- Overall strategy: Design tougher, more resilient, lower maintenance roadways, bridges, facilities and roadsides
- Design lower maintenance bridge expansion
- Design seed/vegetation mixtures that create a denser, deep-rooted vegetation mat that is more erosion resistant
- Eliminate monoculture roadside vegetation designs that may not survive extended drought periods or invasive species attack
- Ensure all roadside building designs are LEED certified or modified to be energy efficient
Hotter, Dryer Summers: Implications for Construction

Strategy: Protect work in progress from effects of higher temperatures for both short term and long term durability

• Encourage more night/cooler weather work to prevent damage such as slab curling, premature cracking, loss of air entrainment in concrete pavements, rutting and flushing in asphalt pavements
• Stronger specifications for Dust Control/Wind Erosion
• Worker Safety during extreme heat periods must be addressed
• More closely monitor moisture in aggregate piles
• Incorporate materials whose performance are less variable in weather extremes
• Modify vegetation planting periods to ensure optimal growth and survival
Implications for Maintenance/Operations

- Pavement rutting and rail buckling
- Longer construction season
- Closures and detours due to rock slides, soil erosion, flooding
- Speed reductions
- Flooding of culverts
- Change in weight restrictions
- More grass cutting/less snow plowing
- Work crew limitations during severe heat periods
More Intense Storms: Implications for System Operations and Maintenance

- Create a detailed economic model that speaks to the societal costs of delayed or inappropriate response to winter storms
- Emphasize routine maintenance items such as ditch cleanout, drainage structure cleanout to avoid failure during intense rainfall events
- Monitor and clean, as needed, bike lanes, shoulders, and non-motorized trails in vertical curve sag areas.
- Remove siltation, gravel, and other debris that present serious hazards to bicyclist and which may accumulate after winter plowing and heavy rainfall events

Source: Michigan DOT
More Intense Storms - Strategy: Use best practices to keep transportation infrastructure operating as safely and efficiently as possible during increased frequency and more intense winter storms

• Increased deployment and use of Roadway Weather Information Stations (RWIS) to effectively plan and respond to winter storms

• Keep motorists informed of hazardous conditions/roadway closures using appropriate technology (changeable message boards, etc.)

• Develop stronger contingency response plans for extraordinary winter storms

Source: Michigan DOT
Hotter, Drier Summers: Implications for System Operation and Maintenance

Overall Strategy: Use best practices to keep roadways and roadsides in a safe and aesthetically acceptable condition during the heat of summer

- Make sure vegetation is managed appropriately during drought periods near roadsides that are susceptible to wildfires
- Monitor and be ready to respond quickly to pavement “tenting” due to excessive heat periods
- Monitor health of vegetation in right of way that may be stressed due to extreme weather or invasive/new northerly migrating insect species and remove and replace as necessary

Source: Michigan DOT
Maintenance/Operations - What else besides climate?

- Aging Infrastructure
- “Natural Disasters”
- Terrorism
- Congested Facilities
- “Just in Time”
- Technology – ITS
- Synergistic Effects
- Aging Bridges
  - Hydrology
  - Temperature – Significant variation?
- Aging Culverts
  - Hydrology - Beware of “single fix”
- Pavements – Replacement cycle..
  - Temperature
- Slopes / Road Side Trees - Synergies
Maintenance/Operations - What to do?

- Asset Management
- ETO (Emergency Transportation Operations)
- NIMS (National Incident Management System)
- ITS (Intelligent Transportation System)
- 511 (Traveler Information System)
- Adaptive Management / Partnerships...
- BE READY FOR THE EXPECTED – .-.-.-.
Final Words –
Monitor and Reassess

- Maintain strong asset management system
- Investigate performance of adapted vs. non-adapted infrastructure during extreme weather events
  - Track any estimated cost savings (or lack thereof) from the adaptation actions
- Keep appraised of the latest climate projections for your region and reassess risks if they change
Summary

- **All modes** of transportation threatened
- **Affects all transportation functions** – planning, programming, environment, location, design, engineering, construction, operations, emergency planning – and budgeting
- **Low lying coastal areas especially vulnerable**
- **Risk assessment and prioritization** is key
- Transportation planners need to **be aware of and adapt to climate change impacts** on our transportation infrastructure
- Looming in future: **where not to build or re-invest?**
VII. Break-Out Groups to Brainstorm Future Actions
Resources

- AASHTO: http://climatechange.transportation.org/
- Intergovernmental Panel on Climate Change (IPCC): http://www.ipcc.ch/
- The Pew Center on Global Climate Change: http://www.pewclimate.org/
- EPA Climate Change Program http://www.epa.gov/climatechange/
Resources – Key Documents

- AASHTO, “Primer on Transportation and Climate Change,” 2008
- Pew Center on Climate Change, “Climate Change 101” and “Reducing GHG Emissions from U.S. Transportation
AASHTO Climate Change Steering Committee: CCSC acts as a focal point and coordinating body for AASHTO’s activities related to climate change. CCSC members act as the focal point for AASHTO on climate change policy issues and provide oversight and guidance to AASHTO’s Climate Change Technical Assistance Program.

AASHTO Technical Assistance Program on Climate Change: This is a new, voluntary program that provides timely information, tools and technical assistance to assist AASHTO members in meeting the difficult challenges that arise related to climate change.

For more information on AASHTO’s Climate Change Steering Committee and Climate Change Technical Assistance Program, please contact:
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