



Environmental Justice Analysis Strategies
AASHTO/AMPO Peer Exchange Recap Webinar
December 4, 2019 at 2PM ET

# Webinar Agenda

- O Welcome, Overview & Setting the Stage
  - Moderator: Sofie Rhoads, AASHTO
    - O Melissa Savage, AASHTO
    - O Fleming El-Amin, FHWA
    - O Bill Keyrouze, AMPO
  - Experience sharing
    - Erika Lychak, Broward County MPO
    - O Gloria Jeff, Minnesota DOT\*
- O Closing



## The Center

- Developed in cooperation with FHWA
- Promotes environmental stewardship and encourages innovative ways to streamline the transportation delivery process
- The Center's website has resources for professionals, including case studies, webinar recordings, and Practitioner's Handbooks

## The EJ CoP

- Forum to discuss and exchange information and experiences
- Engagement through calls, workshops, and peer exchanges

■ Print

#### **Environmental Justice**

#### **Topic Home**

The Environmental Justice topic describes a range of programs, policies, case studies, resources, and tools related to environmental justice in surface transportation. Transportation agencies work to ensure that projects and programs avoid disproportionately high and adverse effects on minority populations and low-income populations.

View Overview

#### **Recent Developments**

- · Group Issues Report on Equity and Smart Mobility
- Environmental Justice CoP Survey: Webinar and Materials Available
- Northeast, Mid-Atlantic States Hold Equity Workshop
- · Climate Group Developing an Equitable Adaptation Toolkit
- EPA Launches Environmental Justice Training for States
- FHWA Issues Two EJ State of the Practice Reports
- Study Describes Use of Zoning, Land Use Policies for Environmental Justice
- · Webinar Outlines Process for Title VI Complaints

#### View Full Listing of Recent Developments >

Subscribe to Website Updates for this topic >

#### **Key Resources**

- AASHTO 2016 Environmental Justice Peer Exchange: Materials, Webinar and Road Map
- Department of Transportation Order 5610.2(a) (May 12, 2012)
- FHWA Order 6640.23A (June 14, 2012)

Overview

**Recent Developments** 

Key Resources

Case Studies

#### **Featured Case Study**





View All Case Studies for this Topic >

Make the latest ENVIRONMENTAL JUSTICE news come to you.

# EJ CoP Survey

- Survey conducted in Spring 2019
- Survey questions focused on the frequency of trainings, information, resource gaps, emerging and innovative issues, and members' familiarity with existing resources
- The results of the survey were shared during a <u>webinar</u> and used to develop a path forward to address these needs

# EJ CoP Survey

- Similarities between survey and AMPO peer exchange:
  - Need for methodology to identify EJ populations
  - Working with data
  - O Public involvement

# EJ Roadmap

- Roadmap developed during a 2016 peer exchange
- Documents strategies and highlights MPO and State DOT Environmental Justice/Title VI efforts
- Includes key takeaways from the exchange, technical assistance needs, research gaps, and recommendations for FHWA and AASHTO







The Center for Environmental Excellence by AASHTO



# EJ Roadmap

- EJ added to the Communities of Practice Forum
- The results of discussions and webinars held by the EJ CoP are distributed through the Center website as well as through the Environmental Technical Assistance Program (ETAP) Newsletter
- The last two CES annual meetings have included a roundtable session that focused on EJ
- Current EJ-oriented Center tasks included a Public Involvement domestic scan and a Virtual Peer Exchange

## **AMPO Work Session**

- This session grew out of a proposal regarding EJ Analysis in PA
- After initial introductions, participants were led through a facilitated roundtable exercise
- Questions focused on how agencies conduct EJ analysis; how EJ analysis is integrated into planning and programming; resources; and challenges and opportunities



### Contacts

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# Federal Highway Administration EJ and Public Involvement Resources

**AMPO Environmental Justice Peer Exchange Recap** 

December 4, 2019



### **Environmental Justice**

#### **Overview**

Environmental Justice (EJ) at the FHWA means identifying and addressing disproportionately high and adverse effects of the agency's programs, policies, and activities on minority populations and low-income populations.

#### **Guiding Principles**

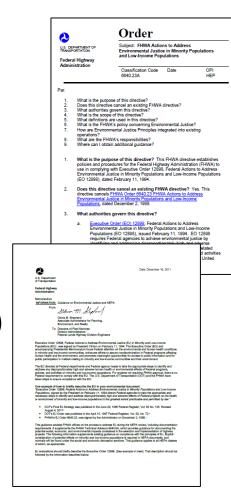
To ensure the full and fair participation by all potentially affected communities in the transportation decision-making process.

To avoid, minimize, or mitigate disproportionately high and adverse human health and environmental effects, including social and economic effects, on minority or low-income populations.

To prevent the denial of, reduction in, or significant delay in the receipt of benefits by minority or low-income populations.

## **EJ Directives and Policy References**

- Executive Order 12898 on EJ (1994)
- USDOT EJ Order 5610.2(a) (1997/2012)
- USDOT EJ Strategy (1995/2012/2016)
- FHWA EJ Order 6640.23A (1998/2012)
- FHWA Guidance on EJ and NEPA (2011)



# **Environmental Justice Programmatic Activities**

#### **State and Regional Support**

- AASHTO Center for Environmental Excellence and TRB Coordination (2021 Equity Conference)
- National Research/Case Studies
- Technical Assistance, Workshops, Webinars, and Peer Networks

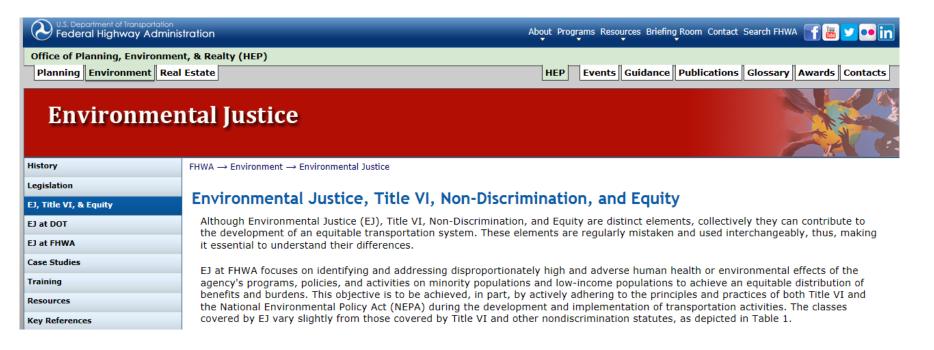
#### **Federal Coordination**

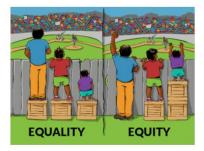
- Collaboration Working Groups
- Best Practices and Resource Development

## **Websites**



### **Environmental Justice**





Source: Interaction Institute for Social Change

www.fhwa.dot.gov/environment/environmental justice/

## **Public Involvement/Public Participation**

#### Public Involvement









Authorizing Legislation

Statute, Regulation and Executive Orders

Case Studies

Project Development

Virtual Public Involvement

Publications

Reference Tool

Related Links

Other Resources

Archive

#### Contacts

For more information, please

- Jody McCullough
- Scott Allen

FHWA → Planning

#### Public Involvement/Public Participation

Public participation is an integral part of the transportation process which helps to ensure that decisions are made in consideration of and to benefit public needs and preferences. Early and continuous public involvement brings diverse viewpoints and values into the decision-making process. This process enables agencies to make better informed decisions through collaborative efforts and builds mutual understanding and trust between the agencies and the public they serve. Successful public participation is a continuous process, consisting of a series of activities and actions to both inform the public and stakeholders and to obtain input from them which influence decisions that affect their lives.

The public, in any one area or jurisdiction, may hold a diverse array of views and concerns on issues pertaining to their own specific transportation needs. Conducting meaningful public participation involves seeking public input at specific and key points in the decision-making process issues where such input has a real potential to help shape the final decision or set of actions.

Public participation activities provide more value when they are open, relevant, timely, and appropriate for the intended goal of the public involvement process. Providing a balanced approach with representation of all stakeholders and including measures to seek out and consider the needs of all stakeholders, especially those that are traditionally underserved by past and current transportation programs, facilities, or services.

Recent Items

- Updated: Extending our Reach Video Series and Webinars (6/28/19)
- Technical Assistance Opportunities

#### Related Items

- · Transportation Planning Capacity
  - · Public Engagement
  - · Case Studies
- · FHWA Resource Center Planning Team
- . FHWA Office of Civil Rights

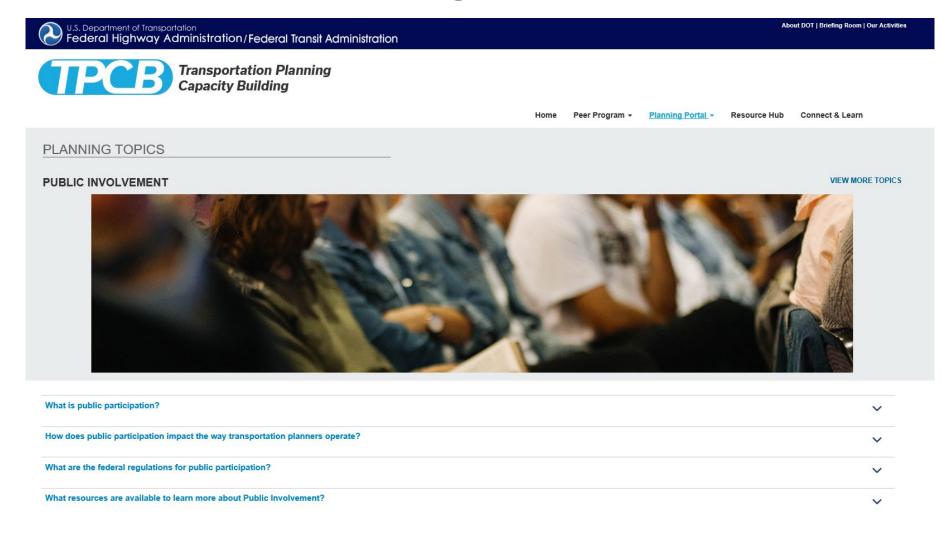
To assist those who would like to improve public participation processes and strategies, this site provides links to relevant information and exemplary case studies and examples.

#### Information for the Public on Transportation Decision Making

Have you ever wondered how decisions are made about transportation projects that affect your life? How do government officials decide where to put a bus stop, road, or bridge? How are these and other transportation projects planned? And how can you make sure your opinions are heard and considered by the planners, road designers, elected officials, and other citizens? The Federal Highway Administration (FHWA) and Federal Transit Administration (FTA) wrote a guide to give you the answers to these and other transportation-related questions.

www.fhwa.dot.gov/planning/public involvement/ https://www.fhwa.dot.gov/planning/public involvement/vpi/

# New Transportation Planning Capacity Building Website



https://www.planning.dot.gov/planning/topic\_Pl.aspx

## **Resources and Research**

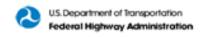


### Foundational EJ Resource

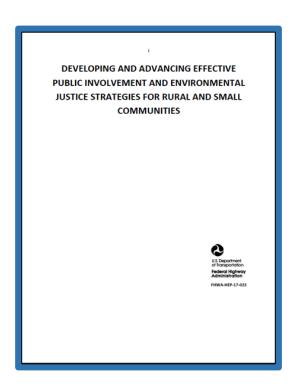
- History and explanation of EJ and its relationship to Title VI of the Civil Rights Act of 1964
- Definitions
- Strategies for incorporating EJ principles throughout various phases of transportation decision making.
- Information on how FHWA complies with the requirements of Executive Order 12898

Federal Highway Administration
Environmental Justice Reference Guide

April 1, 2015



### Resource for Practitioners in Rural Areas

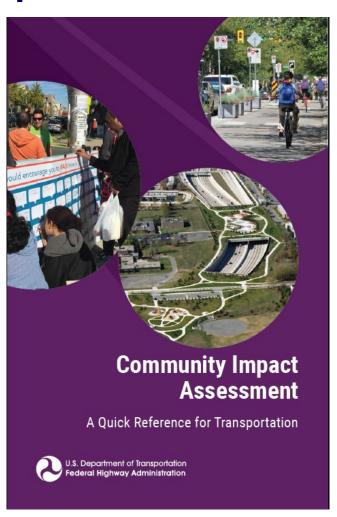


- Step 1: What's the general context behind your need for low-income community engagement?
- Step 2: What preliminary expectations does the planner hold about the input being sought from low-income community stakeholders?
- Step 3: What low-income community has a stake in the subject of this engagement?
- Step 4: What information does the planning agency already possess about the identified low-income community?
- Step 5: What institutions, organizations, formal and informal social networks, etc., are active within the lowincome community being considered?

- Step 6: What strategies seem most viable for the transportation planner to use these identified intermediary groups or networks to get community stakeholder input?
- Step 7: What are identified as the transportation-related benefits and risks both real and imagined among the lowincome community?
- Step 8: How will the lowincome community and its stakeholders know that their views were heard?

# Community Impact Assessment (CIA) Reference Guide Update

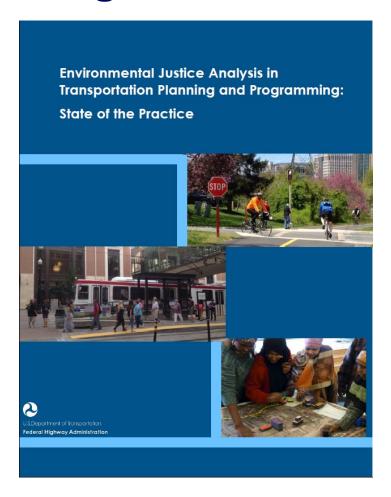
- New CIA Resources
- Best Practice Examples
- Updated Assessment Techniques/Strategies
- Effective Public Involvement



https://www.fhwa.dot.gov/livability/cia/

# EJ Analysis in Transportation Planning and Programming

- Providing Opportunities for Meaningful Public Involvement
- 2. Identifying EJ Populations
- Understanding EJ Needs and Concerns
- 4. Assessing Benefits and Burdens of Plans and Programs
- Assessing Whether Adverse Effects Are Disproportionately High
- Deploying Strategies to Address
   Disproportionately High and Adverse Effects (Imbalances and Needs)
- Best Practices



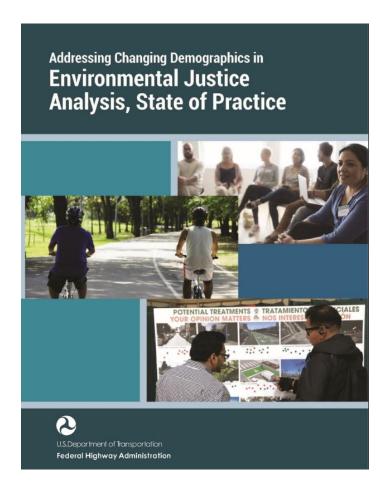
## **Overarching Best Practices**

- Integrating EJ Analyses with Plans and Programs
  - Approaches for Integrating EJ Analyses at the Statewide Scale
- Using EJ Analyses to Support Collaborative Decision-making
  - Planning and Environmental Linkages (PEL)
  - Community Impact Assessment
  - Context Sensitive Solutions and Design (CSS/D)



# Addressing Changing Demographics in EJ Analysis

- I. Context, Goals and Objectives
- II. Changing Demographics: Trends and Forecasts
- III. State of the Practice & Notable Practices
- IV. Key Takeaways
- V. Notable Practice Example Case Studies
  - Mid-Ohio Regional Planning Commission
  - Metropolitan Transportation Commission
  - 3. Atlanta Regional Commission
  - 4. Florida Department of Transportation
  - Metro (Portland, OR)



### **Context and Research Questions**

#### **Context**

- Many cities and regions are becoming majority-minority.
- Real estate market pressures are inducing intra-metropolitan shifts of low-income and minority populations.
- Substantial relative growth of minority populations in small urban and rural areas from 1990 - 2010.

#### **Overarching Research Questions**

- How does the nature of demographic change vary across geographies?
- What is the state of the practice in conducting EJ analysis in communities undergoing rapid demographic change?
- What are best practices for considering changing demographics when conducting an EJ analysis and engaging affected populations across the spectrum of transportation decisionmaking?

# State of the Practice Highlight: Engaging EJ Communities

Gathering data directly from communities improves understanding of community change dynamics.

#### Many agencies are:

- Using online tools, such as websites and social media, to communicate with the public.
- Engaging in regular evaluations and adjustments of their engagement processes.
- Partnering with community organizations to obtain a better sense of community needs, communicate decision-making issues to the community, and gather information from the community.
- Establishing advisory committees to solicit citizen feedback.

## Questions to Consider When Implementing Environmental Justice

#### **Local Trends**

- Is your community addressing the issue of demographic change when engaging the public?
- Is your community aware of demographic changes to date that may have already shifted community composition?
- Do recent trends suggest the community is likely to shift over the lifecycle of a transportation action?

#### **Impacts of Planned Investments**

- Is your community considering how planned investments could result in local demographic change?
- Has your community developed a process to evaluate impacts of investments on neighborhood change?
- Do investments address the needs of EJ populations and are there scenarios considering community change?



### **MPO and State DOT Notable Practices:**

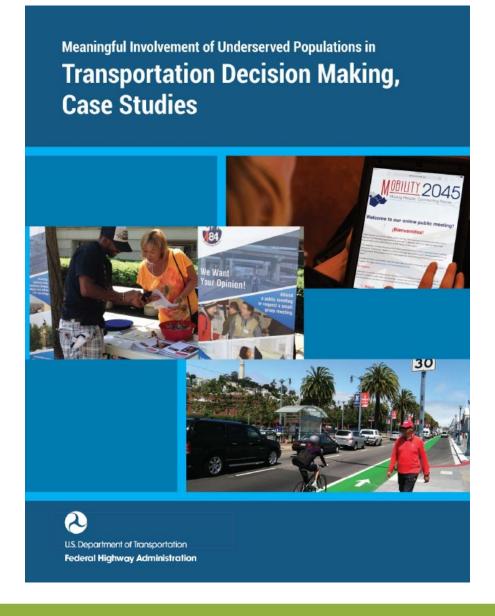
State or MPO	Notable Practice
Bend MPO (Oregon)	Joint funding and outreach effort with City of Bend to improve engagement with the area's growing Hispanic communities
Georgia DOT	Convenes a citizens committee that provides input and feedback beyond the scope and duration of an individual project
Greater Dalton MPO	Used Spanish-language media as an avenue for their outreach and "four-factor analysis"
Houston-Galveston Area Council	Surveyed underserved households to better understand their transportation system priorities
Kentucky Transportation Cabinet	Community impact assessment of a planned investment led to acquisition of affordable rental units
Little Rock MPO	Connects with local Spanish-speaking communities and accounts for how that feedback is used in decision-making
Memphis MPO	Encouraged participation through bus ads, YouTube videos featuring local mayors and partnership with local libraries
Nevada DOT	Presents at meetings of stakeholder communities rather than asking these groups to attend separate meetings
San Diego Association of Governments	Uses a shift-share approach to forecast growth at the census tract scale using a variety of inputs
Southern California Assn. of Governments	Public workshops feature computer models that visualize possible policy impacts at the parcel level



# **Under Development**

#### New case studies!

- Innovative strategies to meaningfully involve underserved populations in transportation decision making
- Approaches and notable practices for addressing challenges and barriers to engaging the following population groups:
  - Minority
  - Low-income
  - LEP
  - Rural



# **Training Resources**



## **CTPP Training Resources**

#### **Training (Census Transportation Planning Products (CTPP))**

- CTPP Applications E-learning Module <a href="http://ctpp.training.transportation.org/">http://ctpp.training.transportation.org/</a>
- Basic Transportation Analysis on CTPP web-based software (Tutorial videos): https://ctpp.transportation.org/training-resources/tutorial-videos/
- Census Transportation Data In-Person Training



#### CTPP Status Report



U.S. Department of Transportation
Federal Highway Administration
AASHTO Standing Committee on Planning

Census Transportation Planning Products (CTPP) Highlights Penelope Weinberger, AASHTO, pweinberger@aashto.org

CTPP data are released!

The 2012 to 2016 CTPP five-year small area data product has been delivered, developed, and disseminated. To access the new data, go to: <a href="https://ctpp.transportation.org/2012-2016-5-year-ctpp/">https://ctpp.transportation.org/2012-2016-5-year-ctpp/</a>.

The Oversight Board, headed by Jessie Jones of the Arkansas Department of Transportation (DOT), is busy with several activities covering research, training, and outreach to support the new CTPP data set.

The CTPP Ongoing Technical Service Program has issued a solicitation to State DOTs for a \$5.6 million five-year funding package to cover 2020 to 2024. The solicitation was mailed on October 10, 2018, with a request for a contact, commitment, and payment by the end of this fiscal year (July 2019). The CTPP asks the States for funding in advance of data delivery because the Census Bureau requires advance payment. Out of the 51 State DOTs, 27 have committed to the program, and 17 States have paid part or in full as of April 12, 2019. As in previous solicitations, the assessment is population based, can be paid with SP&R. funds, and the requirement for local match has been waived by Federal Highway Administration. Please reach out to your State DOT and ask them to support this valuable effort. All previous solicitations

AASH O

May 2019

TRB Census Subcommittee Bureau of Transportation Statistics Federal Transit Administration

had nearly full participation for the 50 States and District of Columbia, and we anticipate the same participation for this one.

CTPP Data Access Software is New and Improved! Penelope Weinberger, AASHTO, pweinberger@aashto.org

AASHTO released the 2010-2016 CTPP on April 2, 2019, with more data mapping features. The United States Census Bureau delivered the special labulation of five-year small area data to AASHTO in December 2018. The dataset consists of the following tables and data for over 325,000 recognition:

- 114 residence-based tables.
- 56 workplace-based tables.
- · 24 flow table for home to work.

Demographic characteristics include in each of these tables are. Ince, sex, earnings, income, employment status, industry, occupation, household size, vehicle availability, and a host of others; not the least of which is means of transportation to work!

The data are derived from two American Community Survey (ACS) microdata record sets based on the 2012–2016 ACS. One set is the original microdata: all tables derived from this set are designated A. The other set is a disclosure proofed microdata set; all tables derived from this set are designated B. That is to say, the first letter in the table



## **NHI Training Resources**

#### **Environmental Justice and Public Involvement**

- Fundamentals of EJ, WBT (Course #142074)
- EJ Analysis, Instructor-led (Course #142075)
- Basics of Public Involvement in Transportation Decision Making, WBT (Course #142077)

#### **Under Development**

Title VI Analysis, ILT



www.nhi.fhwa.dot.gov

# Additional Courses and Technical Assistance

FHWA Resource Center <a href="https://www.fhwa.dot.gov/resourcecenter">www.fhwa.dot.gov/resourcecenter</a>



FTA/NTI EJ Courses

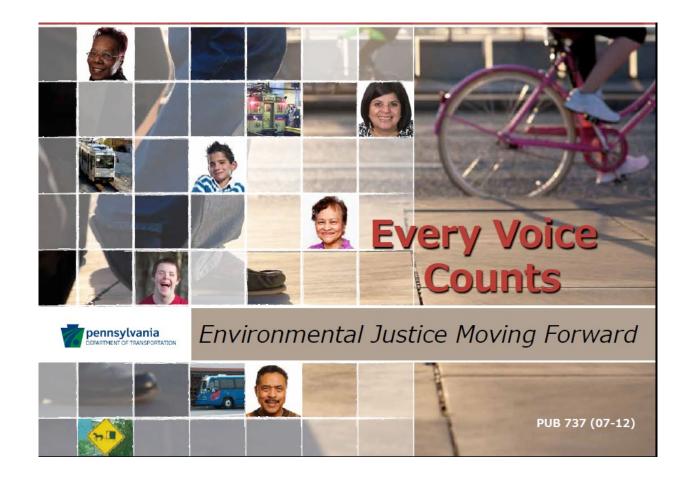


http://www.ntionline.com/ courses/

# **EJ Analysis in Practice**



### PennDOT Statewide EJ Guidance



# EJ Analysis Approach – South Central Pennsylvania MPOs

South Central Pennsylvania

Environmental Justice
Unified Process and Methodology Guide

Volume I

December 2018

- Identify EJ Populations
- Assess Conditions and Identify Needs
- Include
   Demographic
   Questions in
   Transportation
   Surveys
- Develop Program (TIP, LRTP)
- Evaluate Benefits and Burdens
- Identify and Address
   Disproportionately
   High and Adverse
   Impacts

# EJ Analysis Approach – South Central Pennsylvania MPOs

Volume | Unified | Volume || Technical

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		Omneu	volume i omneu	volume ii recimicai					
Practice/Activity	Second Enhancement	Practice	EJ Guide Page	Document Page					
ractice/Activity Core. OF First Elifiancement.		Category	Number	Number					
	Identify EJ Populati	ons							
Regional profile									
Profile of low-income and minority populations	•	10	A-9; F-15						
Profile of other potentially disadvantaged populations		••	10	A-9; F-15					
Cross tabulations of potentially disadvantaged populations		•••	10	A-1; F-18					
El population mapping									
Gradient and dot density maps of low-income and minority populations			16	A-10					
Mapping of other potentially disadvantaged populations		/••	N/A	N/A					
	Assess Conditions and Iden	tify Needs							
Travel profile	/								
Means of transportation to work by race/ethnicity and poverty status			**		1	Unified	Volume I Unified	Volume II Technical	
Travel time to work by race/ethnicity and poverty status						Practice	EJ Guide Page	Document Page	
Travel distance/direction to work for low-wage workers	Practice/Activity	Core:	● ● First Enhance	ement: ••• Se	cond Enhancement:	Category	Number	Number	
Job-worker ratio						-	Number	Number	
Median commute distance					te Benefits and Burden	s of Program			
Demographic questions in transportation surveys	/	Project location and investment mapping							
Include demographic questions in transportation needs surveys	Categorize proje	Categorize projects					78	C-1; C-14	
Include demographic questions in travel surveys		Map over demographic layer					78	C-4; C-14	
Safety conditions	Project distributi	Project distribution and investment mapping					78	C-4; C-14	
Chart of distribution of pedestrian and bicycle crashes	Complete qualita	Complete qualitative narrative					78	C-11; C-14	
Fixed route transit access		Regional access and travel time  Assess impacts of plans and programs on number of destination accessible and travel times  89							
Assess access to transit stops	Assess impacts o	Assess impacts of plans and programs on number of destination accessible and travel times					89	C-17; C-33	
Assess service frequency	Assess regional a	Assess regional access as a current condition using Open Trip Planner					89	C-21; C-33	
Assess transit performance and access to destinations		Identify and Address Disproportionately High and Adverse Impacts							
Pavement condition		1	Elista and and	•					
Table of distribution of International Roughness Index (IRI)	F. 151 .	Incorporate El into regional goals and project selection criteria					99		
Bridge condition		Establish regional vision and goals that address EJ				••		D-1	
Table of distribution of structurally deficient bridges		Include EJ project selection criteria in performance matrix					99	D-5	
Access to non-motorized transportation infrastruct	Collect project in	Collect project information through open-ended questions				••	99	D-5	
Comparison of regional EJ and non-EJ populations in proximity to non-mo	torize	Include EJ representatives on committees						<del></del>	
Chart of distribution of non-motorized infrastructure		Encourage nominating organizations to consider diversity				••	101	N/A	
		Include application questions on demographics and/or experience representing interests of El					101	n/A	
	populations	on questions on (	demographics and/or	experience representir	ng interests or EJ	•••	101	D-10	
		Conduct neighborhood needs assessments							
	Community map	Community mapping and surveys					103	D-11	
	"								

Develop programs, projects, and partnerships

Support community-based transportation needs studies and initiatives

Neighborhood needs assessment Recommend beneficial projects

Establish EJ-dedicated funding program

Funding process guidance



## **For More Information**

- Environmental Justice <a href="http://www.fhwa.dot.gov/environment/environmental\_justice">http://www.fhwa.dot.gov/environment/environmental\_justice</a>
- FHWA Public Involvement (Transportation Planning)
   <a href="https://www.fhwa.dot.gov/planning/public\_involvement/">https://www.fhwa.dot.gov/planning/public\_involvement/</a>
- NEPA and Transportation Decision Making; Public Involvement and its Role in Project Development <a href="https://www.environment.fhwa.dot.gov/projdev/tdmpi">https://www.environment.fhwa.dot.gov/projdev/tdmpi</a> p d.asp
- Transportation Planning Capacity Building Public Involvement Case Studies
   https://www.planning.dot.gov/focus\_caseStudies.aspx
- Resource Center
   https://www.fhwa.dot.gov/resourcecenter/teams/environment/

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## AMPO Recap Webinar EJ Analysis Strategies Peer Exchange

Gloria J Jeff, AICP

Chair, TRB Committee on Environmental Justice and Transportation

December 4, 2019

### Overview

- Facilitated Roundtable discussion
- Key takeaways

### Facilitated Roundtable Discussions

- Key questions
  - Current Practices, Challenges and Opportunities and
  - Potential Actions and Best Practices
- Approach was to have discussion tables address one of the elements of EJ analysis

## Key Takeaways

- Critical Importance of Meaningful Public Involvement
  - Not only identify but understanding needs and concerns
  - Changing
- Quantitative and Qualitative methods for identify Environmental Justice populations
- Understanding the capacity of the Metropolitan Planning Organizations and state Departments of Transportation
- Success is not "checked box"
- New resources provided by Federal Highway Administration

### **Upcoming Events**

- TRB Committee on Environmental Justice and Transportation
  - 2020 Annual Meeting sessions and committee meeting
- Joint Subcommittee on Transportation Equity
  - Curated Track during the 2020 Annual Meeting on Transportation Equity
    - Highlights research quantitative and qualitative
  - Workshop January 16, 2020
  - 2020 Mid Year Meeting

## Thank You

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Move People & Goods | Create Jobs | Strengthen Communities

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# Transportation Planning Equity Assessment

## **Equity Assessment Origins**

- Any agency that receives federal funds must adhere to Title VI requirements (and other federal non-discrimination authorities)
- Equity assessments have historically been used by the MPO but not standardized
- Goal was to create a process above and beyond standard requirements



How can we do more?

How can the process be standardized?

**Process guided** by Working **Group of MPO** staff

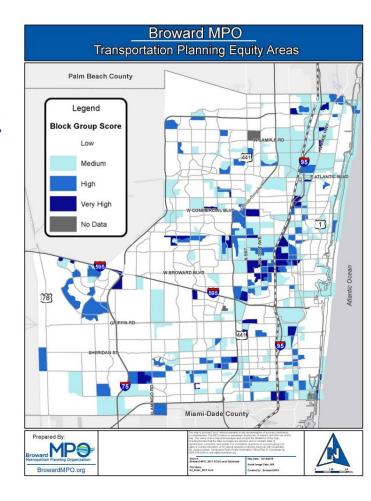


## **Equity Areas**

- Equity "tool" output is the equity area map
- <u>Is not</u> intended to be a definitive identifier of EJ/Title VI populations
- Is intended to be used as a guide
- Provides a consistent way to analyze demographic data

Calculates composite "equity" score







## **Equity Assessment Overview**

So we've **Project** identified Investment equity areas...now what? **Three** Project scalable Development assessment levels Project/ System It starts with.... Concept Commitment 2045 (Metropolitan Transportation

Plan)

# **Equity Assessment (Project Development & Investment Levels)**









Complete Streets & Localized Initiatives Program

Complete
Streets Master
Plan
(next update)

Mobility Hub Program

Transportation Improvement Program (TIP)

**MONITORING** 

**Project Investment** 

#### **PROJECT IDENTIFICATION &**

**PRIORITIZATION** 

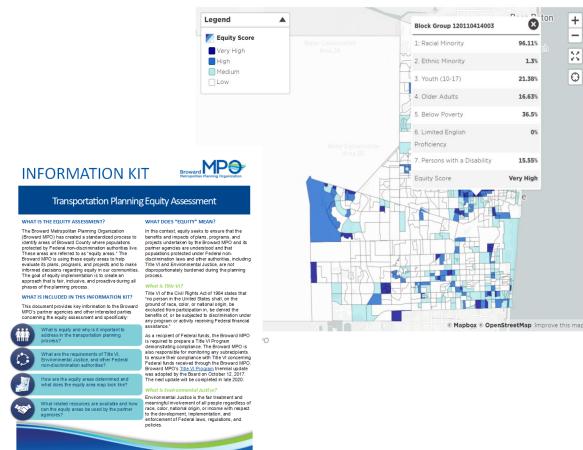
**Project Development** 



## **Equity Assessment** Resources

### **Broward MPO** Webpage "Resources" tab

- Downloadable equity "tool" template
- Methodology report
- Interactive map—equity scores & data by block group
- Information kit for partner agencies





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## Thank You

Next Steps

